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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of :  
Yoshiaki SAITA et al. :  
Serial No. 09/483,728 : Group Art Unit - 3729  
Filed: January 14, 2000 : Examiner - Huan H. Tran  
For: THERMAL HEAD AND METHOD :  
OF MANUFACTURING THE :  
SAME : Docket No. S004-3288 (DIV)

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COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER PATENT RULES 97 AND 98**

**S I R:**

Applicants submit this information disclosure statement pursuant to 37 CFR §§1.97 and 1.98.

This application has not received a first Office Action on the merits. Accordingly, this information disclosure statement is being filed under 37 CFR §1.97(b)(3), i.e., before the mailing date of a first Office Action on the merits.

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**MAILING CERTIFICATE  
ON REVERSE SIDE  
OF LAST PAGE**

Information Disclosure Statement

Pursuant to 37 CFR §1.98, a list of patents, publications or other information submitted for consideration by the PTO is provided on annexed Form PTO-1449. A legible copy of each item of information listed on the Form is submitted herewith. Also submitted is a copy of the Notification of Reason for Refusal, issued by the Japanese Patent Office, which cited each item of information.

As to each item of information, if any, which is not in the English language, a concise explanation of the relevance thereof pursuant to 37 CFR §1.98(a)(3) is attached and also given in the Notification which cited the information.

Consideration of this information disclosure statement is respectfully requested.

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicants

By: 

Bruce L. Adams  
Reg. No. 25,386

50 Broadway  
31st Floor  
New York, NY 10004  
(212) 809-3700

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